THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Examiner: Not Yet Assigned
CHANGJUN et al.	Group Art Unit: Not Yet Assigned
Serial No. 09/847,113	
Filed: May 01, 2001	CERTIFICATE OF MAILING
For: TARGET ANALYTE DETECTION USING ASYMMETRICAL SELF-ASSEMBLED MONOLAYERS	I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on:

Dated: _October 1, 2001_

Signed: _Mary M Farland_
            Mary McFarland

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner of Patents
Washington, DC 20231
BOX: Office of Initial Patent Examination

Sir:
        In response to the Notice to File Missing Parts of Application mailed July 6, 2001, a copy of which is enclosed, Applicants herewith submit the following:

[X]   25 sheets of formal drawings
[X]   Executed Power of Attorney by Assignee
[X]   Executed Declaration of Inventors
[X]   Assignment and Recordation Cover Sheet
[ ]   Request to Correct Filing Receipt
[ ]   Petition for Extension
[X]   Preliminary Amendment Re Sequence Listing; Paper/Diskette copy of Sequence listing
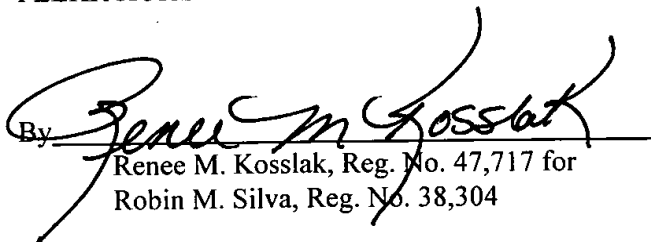[X]   A check is enclosed for *$1730.00* for the following:

Basic Filing Fee, Large Entity	$740.00
30 claims in excess of 20 @ $18	540.00
Multiple dependent claim surcharge	280.00
Large entity, late payment surcharge	130.00
Assignment Recordation Fee	40.00

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Serial No.: 09/847,113
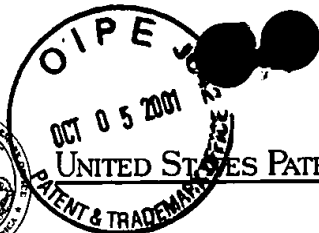**Filed:** May 01, 2001

Please charge any additional fees or credit any overpayment to Deposit Account No. 06-1300 (Order No. A-68306-1/RFT/RMS/RMK).

Respectfully submitted
FLEHR HOHBACH TEST ALBRITTON & HERBERT, LLP

By _____
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/847,113	05/01/2001	Changjun Yu	A-69306-1/RFT/RMS/RMK

CONFIRMATION NO. 5406

ROBIN M. SILVA, ESQ.
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San Francisco, CA 94111-4187

FORMALITIES LETTER

OC000000006400657

Date Mailed: 08/08/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
  Applicant must submit $ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is $810.
  - $540 for 30 total claims over 20.
  - $270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
  A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of $130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is $ 1650.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention

10/10/2001 MBELETE1 00000017 09847113

01 FC:101   740.00 OP
02 FC:103   540.00 OP
03 FC:104   280.00 OP
04 FC:105   130.00 OP

is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY